

RESOLUTION NO. 16-10-04-01

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF SAN JUAN CAPISTRANO, CALIFORNIA, APPROVING AND ADOPTING AN AMENDED CONFLICT OF INTEREST CODE PURSUANT TO THE POLITICAL REFORM ACT OF 1974

WHEREAS, the State of California enacted the Political Reform Act of 1974, Government Code Section 81000, et seq. (the "Act"), which contains provisions relating to conflicts of interest which potentially affect all officers, employees and consultants of the City of San Juan Capistrano (the "City"), and which requires all public agencies to adopt and promulgate a conflict of interest code; and

WHEREAS, the City Council adopted a Conflict of Interest Code (the "Code"), which was amended on September 17, 2013, in compliance with the Act; and

WHEREAS, subsequent changed circumstances within the City have made it advisable and necessary pursuant to Sections 87306 and 87307 of the Act to amend and update the City's Code; and

WHEREAS, the potential penalties for violation of the provisions of the Act are substantial and may include criminal and civil liability, as well as equitable relief which could result in the City being restrained or prevented from acting in cases where the provisions of the Act may have been violated; and

WHEREAS, notice of the time and place of a public meeting on, and of consideration by the City Council of, the proposed amended Code was provided to each affected designated employee and was publicly posted for review; and

WHEREAS, a public meeting was held upon the proposed amended Code at a regular meeting of the City Council on October 4, 2016, at which all present were given an opportunity to be heard on the proposed amended Code.

NOW, THEREFORE, the City Council of the City of San Juan Capistrano does hereby RESOLVE that:

Section 1. The City Council does hereby approve and adopt the proposed amended Conflict of Interest Code, a copy of which is attached hereto and shall be on file with the City Clerk and available to the public for inspection and copying during regular business hours;

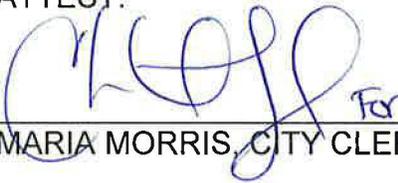
Section 2. The said amended Conflict of Interest Code shall become effective immediately upon its adoption and approval.

Section 3. All previous conflict of interest codes of the City of San Juan Capistrano shall be rescinded upon the effective date of said amended Code as approved by the City Council.

PASSED AND ADOPTED this 4th day of October, 2016.


PAM PATTERSON, ESQ., MAYOR

ATTEST:


MARIA MORRIS, CITY CLERK

STATE OF CALIFORNIA)
COUNTY OF ORANGE) ss.
CITY OF SAN JUAN CAPISTRANO)

I, MARIA MORRIS, appointed City Clerk of the City of San Juan Capistrano, do hereby certify that the foregoing Resolution No. 16-10-04-01 was duly adopted by the City Council of the City of San Juan Capistrano at the Regular meeting thereof, held the 4th day of October 2016, by the following vote:

AYES: COUNCIL MEMBERS: Reeve, Perry, Allevalo, Ferguson and Mayor Patterson
NOES: COUNCIL MEMBERS: None
ABSENT: COUNCIL MEMBERS: None


MARIA MORRIS, CITY CLERK

CONFLICT OF INTEREST CODE
OF THE
CITY OF SAN JUAN CAPISTRANO

CONFLICT OF INTEREST CODE OF THE CITY OF SAN JUAN CAPISTRANO

(Adopted October 4, 2016)

The Political Reform Act (Gov. Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code of Regs. § 18730) that contains the terms of a standard conflict of interest code which can be incorporated by reference in an agency's code. After public notice and hearing Section 18730 may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This incorporation page, Regulation 18730 and the attached Appendix designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **City of San Juan Capistrano (the "City")**.

All officials and designated positions required to submit a statement of economic interests shall file their statements with the **City Clerk** as the City's Filing Officer. The **City Clerk** shall make and retain a copy of all statements filed by the Mayor, Members of the City Council and Planning Commission, the City Manager, the City Attorney and the City Treasurer, and forward the originals of such statements to the Fair Political Practices Commission. The **City Clerk** shall retain the original statements filed by all other officials and designated positions and will make all retained statements available for public inspection and reproduction during regular business hours. (Gov. Code § 81008.)

APPENDIX
CONFLICT OF INTEREST CODE
OF THE
CITY OF SAN JUAN CAPISTRANO

(Adopted October 4, 2016)

PART "A"

The Mayor, Members of the City Council and Planning Commission, the City Manager, the City Attorney, the City Treasurer, and All Other City Officials who manage public investments, as defined by 2 Cal. Code of Regs. §18700.3(b), are NOT subject to the City's Code but must file disclosure statements under Government Code Section 87200 et seq. [Regs. § 18730(b)(3)]

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the positions listed below are Other City Officials who manage public investments¹. These positions are listed here for informational purposes only.

Chief Financial Officer/Treasurer

Investment Consultant

¹ Individuals holding one of the above-listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by § 87200.

DESIGNATED POSITIONS

GOVERNED BY THE CONFLICT OF INTEREST CODE

<u>DESIGNATED POSITIONS'</u> <u>TITLE OR FUNCTION</u>	<u>DISCLOSURE CATEGORIES</u> <u>ASSIGNED</u>
Accounting Manager	5
Assistant Administrative Services Director	4
Assistant City Attorney	1, 2
Assistant City Clerk	5
Assistant City Manager	1, 2
Assistant Development Services Director	2, 3, 5
Assistant Finance Director	1, 2
Assistant Planning Director	2, 3, 5
Assistant Public Works Director	2, 3, 5
Assistant Utilities Director	2, 5
Associate Engineer	2, 3, 5
Associate Planner	2, 3, 5
Building & Code Enforcement Manager	5, 6, 7
Building & Grading Inspector	6
Chief Plant Operator	5
City Clerk	5
Code Enforcement Officer	6
Community Services Director	1, 2

<u>DESIGNATED POSITIONS'</u> <u>TITLE OR FUNCTION</u>	<u>DISCLOSURE CATEGORIES</u> <u>ASSIGNED</u>
Community Services Manager	3, 4, 7
Construction Inspector	2, 3, 6
Development Services Director	1, 2
Economic Development/Redevelopment Manager	1, 2
Executive Services Manager	5
Historic Preservation Manager	2, 5
Human Resources Manager	5
Management Analyst	4
Principal Planner	2, 3, 5
Public Works & Utilities Director	1, 2
Public Works Manager	1, 2
Safety & Emergency Services Manager	5
Senior Accountant	4
Senior Civil Engineer	2, 3, 5, 6
Senior Civil Engineer – Environmental Services	2, 3, 5, 6
Senior Engineer	2, 3, 5
Senior Financial Analyst	4
Senior Management Analyst	4
Senior Planner	2, 3, 5
Technology Services Manager	5
Utilities Superintendent	5

DESIGNATED POSITIONS'
TITLE OR FUNCTION

DISCLOSURE CATEGORIES
ASSIGNED

Water and Sewer Construction Inspector	2, 3, 6
Water Conservation Coordinator	5

BOARD & COMMISSIONS

San Juan Capistrano Housing Authority.....	1, 2
Cultural Heritage Commission.....	1, 2, 7
Design Review Committee.....	2, 3, 5, 6
Open Space, Trails and Equestrian Commission	1, 2, 3
Parks, Recreation and Senior Services Commission	1, 2
Utilities Commission.....	1, 2

Consultants and New Positions²

² Individuals serving as a consultant as defined in FPPC Reg 18700.3(a) or in a new position created since this Code was last approved that makes or participates in making decisions must file under the broadest disclosure set forth in this Code subject to the following limitation:

The City Manager may determine that, due to the range of duties or contractual obligations, it is more appropriate to assign a limited disclosure requirement. A clear explanation of the duties and a statement of the extent of the disclosure requirements must be in a written document. (Gov. Code Sec. 82019; FPPC Regulations 18219 and 18734.). The City Manger's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code. (Gov. Code Sec. 81008.)

PART "B"

DISCLOSURE CATEGORIES

The disclosure categories listed below identify the types of economic interests that the designated position must disclose for each disclosure category to which he or she is assigned.³ "Investment" means financial interest in any business entity (including a consulting business or other independent contracting business) and are reportable if they are either located in, doing business in, planning to do business in, or have done business during the previous two years in the jurisdiction of the City.

Category 1: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments.

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the boundaries of the City.

Category 3: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that are engaged in land development, construction or the acquisition or sale of real property within the jurisdiction of the City.

Category 4: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the City.

Category 5: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, that provide services, products, materials, machinery, vehicles or equipment of a type purchased or leased by the designated position's department, unit or division.

Category 6: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, subject to the regulatory, permit, or licensing authority of the designated employee's department, unit or division.

Category 7: All investments and business positions in business entities, and sources of income, including gifts, loans and travel payments, or income from a nonprofit organization, if the source is of the type to receive grants or other monies from or through the City or its subdivisions.

³ This Conflict of Interest Code does not require the reporting of gifts from outside this agency's jurisdiction if the source does not have some connection with or bearing upon the functions or duties of the position. (Reg. 18730.1)